Smith & Corripio, 3rd edition $\%TO := \%$ $\%CO := \%$

Problem 6-1. Second-order loop with proportional controller.

$$
G_1(s) = \frac{K}{(\tau_1 \cdot s + 1) \cdot (\tau_2 \cdot s + 1)} \qquad G_c(s) = K_c
$$

 $K \coloneqq 0.10 \frac{\% \text{TO}}{\% \text{CO}}$ $\tau_1 \coloneqq 1 \text{min}$ $\tau_2 \coloneqq 0.8 \text{min}$ Problem parameters:

(a) Closed loop transfer function and characteristic equation of the loop.

$$
\frac{C(s)}{R(s)} = \frac{K_c \cdot \frac{K}{(\tau_1 \cdot s + 1) \cdot (\tau_2 \cdot s + 1)}}{1 + K_c \cdot \frac{K}{(\tau_1 \cdot s + 1) \cdot (\tau_2 \cdot s + 1)}} = \frac{K_c \cdot K}{(\tau_1 \cdot s + 1) \cdot (\tau_2 \cdot s + 1) + K_c \cdot K}
$$

Characteristic equation:

$$
\tau_1 \cdot \tau_2 \cdot s^2 + (\tau_1 + \tau_2)s + 1 + K_c \cdot K = 0
$$

Closed-loop transfer function:
$$
\frac{C(s)}{R(s)} = \frac{0.1K_c}{0.8s^2 + 1.8s + 1 + 0.1K_c}
$$

Characteristic equation: $0.8s^2 + 1.8s + 1 + 0.1K_c = 0$

(b) Values of the controller gain for which the response is over-damped, critically damped, and under-damped

Roots of the characteristic equation:

$$
r_1 = \frac{-1.8 + \sqrt{1.8^2 - 4 \cdot 0.8 \cdot (1 + 0.1 \cdot K_c)}}{2 \cdot 0.8} = \frac{-1.8}{1.6} + \sqrt{\left(\frac{1.8}{1.6}\right)^2 - \frac{1 + 0.1 \cdot K_c}{0.8}}
$$

The response is critically damped when the term in the radical is zero: 1.6 $\Big($ \backslash J $2 \t1 + 0.1K_c$ $-\frac{6}{0.8} = 0$ $K_{\text{ccd}} \coloneqq \frac{1}{0}$ 0.1 $0.8\left(\frac{1.8}{1.8}\right)$ 1.6 $\Big($ \backslash J 2 − 1 L þ L $\overline{}$ Critically damped: $K_{\text{ccd}} = \frac{1}{0.1} \left[0.8 \left(\frac{1.8}{1.6} \right)^2 - 1 \right]$ $K_{\text{ccd}} = 0.125 \frac{\% \text{CO}}{\% \text{TO}}$ Over-damped (real roots): $\qquad \qquad \left| K_{\text{c}} < 0.125 \frac{\% \text{CO}}{\% \text{TO}} \right| \qquad \text{Under-damped:} \; \left| K_{\text{c}} > 0.125 \frac{\% \text{CO}}{\% \text{TO}} \right|$ >

The loop cannot be unstable for positive gain because,

- for real roots the radical cannot be greater than the negative term, so both roots are negative
- for complex conjugate roots the real part is always negative, -1.8/1.6, or $-(\tau_1+\tau_2)/2\tau_1\tau_2$ This is true for all positive values of the time constants and the product $K_{c}K$.
- (c) Equivalent time constants for different values of the gain:

$$
K_{c} := 0.1 \frac{\%CO}{\%TO}
$$
 (over-damped, two equivalent time constants)
\n
$$
\tau_{e1} = \frac{-1}{r_{1}}
$$

$$
\tau_{e1} := \frac{2 \cdot \tau_{1} \cdot \tau_{2}}{(\tau_{1} + \tau_{2}) - \sqrt{(\tau_{1} + \tau_{2})^{2} - 4 \cdot \tau_{1} \cdot \tau_{2} \cdot (1 + K_{c} \cdot K)}} \frac{\tau_{e1} = 0.935 \text{ min}}{\tau_{e2} = \frac{-1}{r_{2}}
$$

$$
\tau_{e2} := \frac{2 \cdot \tau_{1} \cdot \tau_{2}}{(\tau_{1} + \tau_{2}) + \sqrt{(\tau_{1} + \tau_{2})^{2} - 4 \cdot \tau_{1} \cdot \tau_{2} \cdot (1 + K_{c} \cdot K)}} \frac{\tau_{e2} = 0.847 \text{ min}}{\tau_{e2} = 0.847 \text{ min}}
$$
\n
$$
K_{c} := 0.125 \frac{\%CO}{\%TO}
$$
 (critically damped, two equal real time constants)

$$
\tau_{e1} = \frac{-1}{r_1} \qquad \tau_{e1} := \frac{2 \cdot \tau_1 \cdot \tau_2}{(\tau_1 + \tau_2) - \sqrt{(\tau_1 + \tau_2)^2 - 4 \cdot \tau_1 \cdot \tau_2 \cdot (1 + K_c \cdot K)}} \frac{\tau_{e1} = 0.889 \text{ min}}{\tau_{e2} = \frac{-1}{r_2} \qquad \tau_{e2} := \frac{2 \cdot \tau_1 \cdot \tau_2}{(\tau_1 + \tau_2) + \sqrt{(\tau_1 + \tau_2)^2 - 4 \cdot \tau_1 \cdot \tau_2 \cdot (1 + K_c \cdot K)}} \frac{\tau_{e2} = 0.889 \text{ min}}{\tau_{e2} = 0.889 \text{ min}}
$$

$$
K_{\rm c} := 0.2 \frac{\% CO}{\% TO}
$$

(under-damped, time constant and damping ratio)

$$
\tau^{2} s^{2} + 2\zeta \cdot \tau \cdot s + 1 = \frac{\tau_{1} \cdot \tau_{2}}{1 + K_{c} \cdot K} s^{2} + \frac{\tau_{1} + \tau_{2}}{1 + K_{c} \cdot K} s + 1
$$

Match coefficients:

$$
\tau := \sqrt{\frac{\tau_1 \cdot \tau_2}{1 + K_c \cdot K}} \qquad \zeta := \frac{\tau_1 + \tau_2}{2 \cdot \tau \cdot \left(1 + K_c \cdot K\right)} \quad \boxed{\tau = 0.886 \text{ min} \left| \zeta = 0.996 \right|}
$$

(d) Steady-state offset for a unit step change in set point.

Final value theorem:

\n
$$
\lim_{t \to \infty} Y(t) = \lim_{s \to 0} s \cdot Y(s)
$$
\n
$$
R(s) = \frac{1}{s}
$$
\n(Table 2-1.1)

$$
K_{c} := 0.1 \frac{\%CO}{\%TO} \qquad \lim_{s \to 0} s \cdot \frac{K_{c} \cdot K}{\tau_{1} \cdot \tau_{2} \cdot s^{2} + (\tau_{1} + \tau_{2}) \cdot s + 1 + K_{c} \cdot K} \cdot \frac{1}{s} \to 9.9009900990099009901 \cdot 10^{-3}
$$
\n
$$
\text{offset} := (1 - 0.0099)\% TO \qquad \text{offset} = 0.99\% TO
$$
\n
$$
K_{c} := 0.125 \frac{\% CO}{\% TO} \qquad \lim_{s \to 0} s \cdot \frac{K_{c} \cdot K}{\tau_{1} \cdot \tau_{2} \cdot s^{2} + (\tau_{1} + \tau_{2}) \cdot s + 1 + K_{c} \cdot K} \cdot \frac{1}{s} \to 1.2345679012345679012 \cdot 10^{-2}
$$
\n
$$
\text{offset} := (1 - 0.01235)\% TO \qquad \text{offset} = 0.988\% TO
$$
\n
$$
K_{c} := 0.2 \frac{\% CO}{\% TO} \qquad \lim_{s \to 0} s \cdot \frac{K_{c} \cdot K}{\tau_{1} \cdot \tau_{2} \cdot s^{2} + (\tau_{1} + \tau_{2}) \cdot s + 1 + K_{c} \cdot K} \cdot \frac{1}{s} \to 1.9607843137254901961 \cdot 10^{-2}
$$
\n
$$
\text{offset} := (1 - 0.01961)\% TO \qquad \text{offset} = 0.98\% TO
$$

These are very large offsets because the loop gains are so small.

Excerpts from this work may be reproduced by instructors for distribution on a not-for-profit basis for testing or instructional purposes only to students enrolled in courses for which the textbook has been adopted. *Any other reproduction or translation of this work beyond that permitted by Sections 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful.*

Smith & Corripio, 3rd edition

Problem 6-2. Inverse-response second-order system with proportional controller.

$$
G_1(s) = \frac{6(1-s)}{(s+1)\cdot(0.5\cdot s+1)} \frac{\% TO}{\% CO} \qquad G_c(s) = K_c \cdot \frac{\% CO}{\% TO}
$$

(a) Closed-loop transfer function and characteristic equation of the loop.

Closed-loop transfer function:

$$
\frac{C(s)}{R(s)} = \frac{K_c \cdot 6(1-s)}{(s+1) \cdot (0.5s+1) + K_c \cdot 6(1-s)}
$$

Characteristic equation: $0.5 \cdot s^2 + (1.5 - 6K_c)s + 1 + 6K_c = 0$

Roots: (b) Values of the gain for which the response is over-, critically, and under-damped

$$
r_1 = \frac{-\left(1.5 - 6K_c\right) + \sqrt{\left(1.5 - 6K_c\right)^2 - 4.0.5 \cdot \left(1 + 6K_c\right)}}{2.0.5} = -1.5 + 6K_c + \sqrt{0.25 - 30K_c + 36K_c^2}
$$

The response is critically damped when the term in the radical is zero:

$$
0.25 - 30K_c + 36K_c^2 = 0
$$

\n
$$
K_c := \frac{30 + \sqrt{30^2 - 4 \cdot 0.25 \cdot 36}}{2 \cdot 36}
$$

\n
$$
K_c := \frac{30 - \sqrt{30^2 - 4 \cdot 0.25 \cdot 36}}{2 \cdot 36}
$$

\n
$$
K_c = 0.82491 \frac{\%CO}{\%TO}
$$

\n
$$
K_c = 0.00842 \frac{\%CO}{\%TO}
$$

\nUnder-damped (complex conjugate roots):
\n
$$
K_c < 0.00842 \frac{\%CO}{\%TO}
$$
 and
\n
$$
K_c > 0.825 \frac{\%CO}{\%TO}
$$

\n
$$
0.00842 \frac{\%CO}{\%TO} < K_c < 0.825 \frac{\%CO}{\%TO}
$$

The response is unstable when

%TO

 $> 0.25 \frac{1000}{1000}$ (one real root is positive or the real part of the complex roots i positive)

(unstable)

(c) Effective time constants or time constant and damping ratio for various values the gain:

$$
\frac{0.5}{1+6K_c}s^2 + \frac{1.5-6K_c}{1+6K_c}s + 1 = \tau^2s^2 + 2\zeta \cdot \tau \cdot s + 1
$$

$$
K_{c} := 0.1 \frac{\%CO}{\%TO} \qquad \tau := \sqrt{\frac{0.5 \text{min}^{2}}{1 + 6K_{c}}} \qquad \zeta := \frac{(1.5 - 6K_{c}) \text{min}}{2 \cdot \tau \cdot (1 + 6K_{c})} \qquad \frac{\tau}{T} = 0.559 \text{ min} \qquad \zeta = 0.503
$$
\n
$$
K_{c} := 0.125 \frac{\%CO}{\%TO} \qquad \tau := \sqrt{\frac{0.5 \text{min}^{2}}{1 + 6K_{c}}} \qquad \zeta := \frac{(1.5 - 6K_{c}) \text{min}}{2 \cdot \tau \cdot (1 + 6K_{c})} \qquad \frac{\tau}{T} = 0.535 \text{ min} \qquad \zeta = 0.401
$$
\n
$$
K_{c} := 0.2 \frac{\%CO}{\%TO} \qquad \tau := \sqrt{\frac{0.5 \text{min}^{2}}{1 + 6K_{c}}} \qquad \zeta := \frac{(1.5 - 6K_{c}) \text{min}}{2 \cdot \tau \cdot (1 + 6K_{c})} \qquad \frac{\tau}{T} = 0.477 \text{ min} \qquad \zeta = 0.143
$$
\n
$$
K_{c} := 0.3 \frac{\%CO}{\%TO} \qquad \tau := \sqrt{\frac{0.5 \text{min}^{2}}{1 + 6K_{c}}} \qquad \zeta := \frac{(1.5 - 6K_{c}) \text{min}}{2 \cdot \tau \cdot (1 + 6K_{c})} \qquad \frac{\tau}{T} = 0.423 \text{ min} \qquad \zeta = -0.127
$$
\n(4.11)

Try values that result in equivalent time constants:

$$
K_{c} := 0.005 \frac{\%CO}{\%TO} \quad \tau_{e1} := \frac{1 \text{ min}}{1.5 - 6 \cdot K_{c} - \sqrt{0.25 - 30K_{c} + 36K_{c}^{2}}} \quad \tau_{e1} = 0.868 \text{ min}
$$
\n
$$
\tau_{e2} := \frac{1 \text{ min}}{1.5 - 6 \cdot K_{c} + \sqrt{0.25 - 30K_{c} + 36K_{c}^{2}}} \quad \tau_{e2} = 0.559 \text{ min}
$$
\n
$$
K_{c} := 1 \frac{\%CO}{\%TO} \quad \tau_{e1} := \frac{1 \text{ min}}{1.5 - 6 \cdot K_{c} - \sqrt{0.25 - 30K_{c} + 36K_{c}^{2}}} \quad \tau_{e1} = -0.143 \text{ min}
$$
\n
$$
\tau_{e2} := \frac{1 \text{ min}}{1.5 - 6 \cdot K_{c} + \sqrt{0.25 - 30K_{c} + 36K_{c}^{2}}} \quad \tau_{e2} = -0.5 \text{ min}
$$
\n(unstable)

\n
$$
\tau_{e2} := \frac{1 \text{ min}}{1.5 - 6 \cdot K_{c} + \sqrt{0.25 - 30K_{c} + 36K_{c}^{2}}} \quad \tau_{e2} = -0.5 \text{ min}
$$

(d) Offset for various values of the gain and a unit step change in set point.

Kc 0.10 %CO %TO := s 0 s Kc⋅6⋅() 1 s − 0.5s2 1.5 6 Kc [−] [⋅] () ⁺ ^s ⁺ ¹ 6Kc ⁺ [⋅] ¹ s lim → → .37500000000000000000 offset 1 0.375 := [−] offset 0.625 %CO %TO ⁼

$$
K_{c} := 0.125 \frac{\%CO}{\%TO} \qquad \lim_{s \to 0} s \cdot \frac{K_{c} \cdot 6 \cdot (1 - s)}{0.5s^{2} + (1.5 - 6 \cdot K_{c})s + 1 + 6K_{c}} \frac{1}{s} \to .42857142857142857143
$$
\n
$$
\text{offset} := 1 - 0.429 \qquad \text{offset} = 0.571 \frac{\%CO}{\%TO}
$$
\n
$$
K_{c} := 0.20 \frac{\%CO}{\%TO} \qquad \lim_{s \to 0} s \cdot \frac{K_{c} \cdot 6 \cdot (1 - s)}{0.5s^{2} + (1.5 - 6 \cdot K_{c})s + 1 + 6K_{c}} \frac{1}{s} \to .5454545454545454545454555
$$
\n
$$
\text{offset} := 1 - 0.545 \qquad \text{offset} = 0.455 \frac{\%CO}{\%TO}
$$

The offsets are high because the gains are small. Of course, since for gains greater than 0.25%CO/%TO the loop is unstable, offsets can only be high with a proportional controller.

 $K := 1.8R$

Excerpts from this work may be reproduced by instructors for distribution on a not-for-profit basis for testing or instructional purposes only to students enrolled in courses for which the textbook has been adopted. *Any other reproduction or translation of this work beyond that permitted by Sections 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful.*

Smith & Corripio, 3rd edition

Problem 6-3. First-order process and proportional-integral controller.

$$
G_1(s) = \frac{K}{\tau \cdot s + 1} \qquad G_c(s) = K_c \left(1 + \frac{1}{\tau} \right)
$$

To work in dimensionless units, t/τ , set: $\tau := 1$

(a) Closed-loop transfer function and characteristic equation of the loop. Offset.

Closed-loop transfer function:

\n
$$
\frac{C(s)}{R(s)} = \frac{KK_c \cdot (\tau_I s + 1)}{\tau_I s \cdot (\tau \cdot s + 1) + KK_c \cdot (\tau_I s + 1)}
$$
\nCharacteristic equation:

\n
$$
\frac{\tau_I \cdot s^2 + (1 + KK_c) \tau_I s + KK_c = 0}{\tau_I \cdot s^2 + (1 + KK_c) \tau_I s + KK_c} = \frac{KK_c}{KK_c}
$$
\nOffice:

\n
$$
\lim_{s \to 0} \frac{KK_c \cdot (\tau_I s + 1)}{\tau_I \cdot s^2 + (1 + KK_c) \tau_I s + KK_c} = \frac{KK_c}{KK_c}
$$
\n(no offset)

(b) Is there an ultimate gain for this loop?

Substitute
$$
s = i\omega
$$
: $-\tau_I \tau \cdot \omega_u^2 + KK_{cu} + i(1 + KK_{cu}) \omega_u = 0$ $\omega_u = 0$ $KK_{cu} = 0$

No, there is no ultimate gain. This result just means that a negative loop gain will make the loop unstable. Another way to show it is to determine the roots of the characteristic equation:

$$
r_1 = \frac{-\left(1 + KK_c\right) \cdot \tau_I + \sqrt{\left(1 + KK_c\right)^2 \cdot \tau_I^2 - 4 \cdot \tau_I \cdot \tau \cdot KK_c}}{2\tau_I \tau}
$$

The real root cannot be negative for any positive value of the loop gain KK_c because the radical is always smaller than the negative term. Also, for complex conjugate roots, the real part is always

negative:

$$
\text{Real} = \frac{-\left(1 + \text{KK}_c\right)}{2 \cdot \tau} < 0
$$

(c) Response of the loop to a step change in set point for $\tau_1 = \tau$ as the gain varies from 0 to infinity.

Excerpts from this work may be reproduced by instructors for distribution on a not-for-profit basis for testing or instructional purposes only to students enrolled in courses for which the textbook has been adopted. *Any other reproduction or translation of this work beyond that permitted by Sections 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful.*

> $R := \frac{K}{\sqrt{2}}$ 1.8 :=

Smith & Corripio, 3rd edition

Problem 6-4. Second-order process with pure integral controller.

$$
G_1(s) = \frac{K}{(\tau_1 \cdot s + 1) \cdot (\tau_2 \cdot s + 1)} \qquad G_c(s) = \frac{K_I}{s}
$$

(a) Ultimate gain and period with the parameters of Problem 6-1:

$$
K := 0.1 \frac{\%CO}{\%TO} \qquad \tau_1 := 1 \text{min} \qquad \tau_2 := 0.8 \text{min}
$$
\n
$$
\tau_1 \cdot \tau_2 \cdot s^2 + (\tau_1 + \tau_2)s + 1 + \frac{K \cdot K_I}{s} = 0
$$
\n
$$
\tau_1 \cdot \tau_2 s^3 + (\tau_1 + \tau_2)s^2 + s + KK_I = 0
$$
\nSubstitute $s = i\omega_u$:

\n
$$
-\tau_1 \cdot \tau_2 \cdot i \cdot \omega_u^3 - (\tau_1 + \tau_2)\omega_u^2 + i \cdot \omega_u + KK_{Iu} = 0 + 0i
$$
\n
$$
-(\tau_1 + \tau_2)\omega_u^2 + KK_{Iu} = 0 \qquad -\tau_1 \cdot \tau_2 \omega_u^3 + \omega_u = 0
$$
\n
$$
\omega_u := \sqrt{\frac{1}{\tau_1 \cdot \tau_2}} \qquad K_{Iu} := \frac{(\tau_1 + \tau_2) \cdot \omega_u^2}{K} \qquad \omega_u = 1.118 \text{ min}^{-1} \left[\frac{K_{Iu} = 22.5 \frac{\%CO}{\%TO \cdot min}}{\% TO \cdot min} \right]
$$
\n
$$
T_u := \frac{2\pi}{\omega_u} \qquad \boxed{T_u = 5.62 \text{ min}}
$$

(b) Ultimate gain and period for other values of the smaller time constant:

$$
\tau_2 := 0.1 \text{min} \qquad \omega_\mathbf{u} := \sqrt{\frac{1}{\tau_1 \cdot \tau_2}} \qquad \mathbf{K}_{\text{Iu}} := \frac{(\tau_1 + \tau_2) \cdot \omega_\mathbf{u}^2}{\mathbf{K}} \qquad \omega_\mathbf{u} = 3.162 \text{ min}^{-1} \boxed{\mathbf{K}_{\text{Iu}} = 110 \frac{\% \text{CO}}{\% \text{TO-min}}}
$$
\n
$$
T_\mathbf{u} := 2 \frac{\pi}{\omega_\mathbf{u}} \qquad \qquad \boxed{T_\mathbf{u} = 1.987 \text{ min}}
$$

$$
\tau_2 := 2\text{min} \qquad \omega_\mathbf{u} := \sqrt{\frac{1}{\tau_1 \cdot \tau_2}} \qquad \mathbf{K}_{\text{Iu}} := \frac{\left(\tau_1 + \tau_2\right) \cdot \omega_\mathbf{u}^2}{\mathbf{K}} \qquad \omega_\mathbf{u} = 0.707 \text{ min}^{-1} \boxed{\mathbf{K}_{\text{Iu}} = 15 \frac{\% \text{CO}}{\% \text{TO-min}}}
$$
\n
$$
T_\mathbf{u} := \frac{2\pi}{\omega_\mathbf{u}} \qquad \qquad \boxed{T_\mathbf{u} = 8.886 \text{ min}}
$$

Reducing the non-dominat time constant increases the ultimate gain and reduces the ultimate period, as expected. When τ_2 is increased to 2 min, it becomes the dominant time constant and the ultmate gain should be higher than for part (a). However, in this case K_I has units of rate and, since the loop is slower, it results in a smaller ultimate gain.

Excerpts from this work may be reproduced by instructors for distribution on a not-for-profit basis for testing or instructional purposes only to students enrolled in courses for which the textbook has been adopted. *Any other reproduction or translation of this work beyond that permitted by Sections 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful.*